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**| RESEARCH ARTICLE**

## Machine Learning and Deep Learning Techniques for EEG-Based Prediction of Psychiatric Disorders

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### **| ABSTRACT**

Early detection of psychiatric disorders as well as efficient treatment are difficult owing to their challenges, which require accurate prediction methods in healthcare. When combined with ML and DL techniques, EEG data promises to yield a promising method for enhancing diagnostic accuracy. In this study, the performance of a wide spectrum of ML and DL techniques for predicting psychiatric disorders from EEG datasets is evaluated and the best choice is found for a particular condition. The study carried an analysis based on public datasets representing diverse psychiatric disorders through systematic analysis. Advanced DL architectures comprising of CNNs and RNNs were compared against the classical traditional ML techniques such as RIForest and Support Vector Machines (SVMs). A comparison between these models was made based on key performance metrics such as accuracy, sensitivity, and specificity. Results showed that DL models, particularly CNNs, excel at feature extraction and classification over traditional ML methods with their highest accuracy of predicting major depressive disorder above 92%. But ML techniques were able to complete faster computationally, in spite of slightly lower predictive accuracy. As DL models excel at capturing complex patterns within EEG data, these findings suggest that there are increased computational demands associated with them. Following that, advanced pattern recognition capabilities associated with DL techniques benefit substantially from the predictive modeling offered by EEG, although their computational efficiency presents as a limitation. This study highlights the importance of hybrid methods combining the best properties of both ML and DL for psychiatric disorders prediction to get improved accuracy and scalability, which is conditioning this generation of safer diagnostic tools for clinical practice.

### **| KEYWORDS**

EEG-Based Prediction, Psychiatric Disorders, Hybrid CNN-RNN Model, Machine Learning, Deep Learning, Neural Networks, Feature Extraction, Explainable AI, Clinical Diagnostics, Precision Psychiatry

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## **1. Introduction**

### **1.1 Background**

Depression, anxiety, bipolar disorder and schizophrenia are among the most important psychiatric disorders and present an enormous burden for global healthcare systems (Piao et al., 2022). These conditions cause a high morbidity, mortality and socioeconomic cost. Due to the effectiveness of treatment and subsequent management, it is critical that people are able to diagnose them early and accurately. Existing diagnostic methods are often based on subjective methods that assume self-reporting

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symptoms, clinical observation, and are highly prone to variability in patients and amongst practitioners (Blackburn, 2019). In addition, the diagnosis and treatment of psychiatric disorders is made complex and heterogeneous, rendering the development of a standardized and scalable set of diagnostic approaches difficult.

The ability to measure brain activity in a noninvasive, cost-effective, and real-time way has enabled EEG to emerge as a promising tool for diagnosing psychiatric disorder. Electrical activity of the brain captured by EEG via electrodes placed on the scalp informs us about neural dynamics (Pesaran et al., 2018). It has been shown that psychiatric disorders lead to characteristic changes of EEG signals, including changes of frequency bands, connectivity patterns and event related potentials. If these changes can be used as biomarkers for early detection of psychiatric conditions, such as Alzheimer's disease particularly, such a method can be used as a therapeutic tool. However, extracting and interpreting meaningful patterns from such high dimensional noisy data is a challenge.

This study shows that Machine Learning (ML) and Deep Learning (DL) techniques are thriving in alleviating these challenges. In testing common ML methods, including Random Forests and Support Vector Machines (SVMs), to extract meaningful features from EEG signals, detecting different psychiatric conditions, it's found some solid results. In the meantime, Convolutional Neural Networks (CNNs) and Recurrent Neural Networks (RNNs) developed within the DL domain, are acknowledged in their ability in capturing the complex patterns and temporal dependencies of EEG data. In fact, these models have shown superior predictive accuracy and are precious for applications in psychiatric diagnostics.

### **1.2 Problem Statement**

However, there are several hindrances to apply EEG based psychiatric disorder prediction through ML and DL. In spite of being computationally efficient, traditional ML methods fail to capture the complex and nonlinear relationships found in EEG data (Saeidi et al., 2021). On the other hand, although highly capable of finding complex patterns, the DL models need a large amount of labeled data and additionally require large amount of computational resources. However, EEG datasets are usually noisy and unbalanced which make it hard for their performance by these models (Thölke et al., 2023). Furthermore, DL models are not interpretable, which hinders their adoption in clinical practice as healthcare professionals need to understand how predictions are made for building such trust with these systems.

Next key challenge is diversity of psychiatric disorders and in manifestations of those in EEG. Unique EEG patterns of different disorders make it impossible to construct a solid solution. In addition, existing models lack in generalizability, since there is a scarcity of publicly available and annotated EEG datasets for psychiatric disorders (Ranjan et al., 2024). This however, necessitates a systematic evaluation of ML and DL techniques and a selection of the best approaches for a particular psychiatric condition.

### **1.3 Objectives**

The primary goal of this study is to evaluate how varying the ML and DL techniques for the prediction of psychiatric disorders from EEG data performs. This research aims to identify best performing models as tracked by metrics such as accuracy, sensitivity, and specificity, from systematically analyzing all publicly available, across diverse psychiatric scenarios, datasets. Furthermore, this work aims to highlight the strengths and weaknesses of both the ML and DL methods in order for the hybrid methods that marry the best of both approaches are created.

In addition, research is done on how DL models, and CNNs and RNNs in particular, may be utilized for feature extraction and EEG data classification. The study makes comparisons of these models against the traditional ML methods (Random Forests and SVMs) to understand the relative advantages and limitations of these approaches. The goal of these findings is to help inform the design of future computationally efficient and accurate models of which such tools may eventually be developed as scalable diagnostic tools for clinical practice.

### **1.4 Significance**

Application of ML and DL techniques together with EEG data will have great potential to change the face of psychiatric diagnostics. These technologies can enable early and accurate prediction of psychiatric disorders leading to timely interventions, and better patient outcomes and lowering the health care system burden (de Bardeci et al., 2021). Mental health care can be additionally be increased in resource limited settings with the help of automated diagnostic tools, reinforcing accessibility to mental health care.

Additionally, hybrid ML-DL model development has been found as a promising solution to the challenges posed by past approaches. Hybrid methods combine computational efficiency of ML techniques with the advanced pattern recognition capacity of DL models to attain a balance between accuracy, and speed (Ahmed et al., 2023). These models also have an opportunity to

incorporate interpretability features, including visualization tools and feature attribution methods, to be clinically useful and acceptable.

This study has broader implications for the field of healthcare informatics. The insights and methodologies learned through this research can be extended to additional domains, for example neurology and neurorehabilitation that generally utilize EEG data (McDermott et al., 2023). Furthermore, the stress on hybrid approaches and interpretability matches what is being requested by healthcare which increasingly demands ethically transparent AI systems.

Overall, this thesis fills the critical gaps in applying ML and DL techniques to predict psychiatric disorders from EEGs. This study systematically evaluates existing methods while offering an innovative solution towards improved safer, more effective, and scalable diagnostic tools in clinical practice. The power these technologies show promise of bringing to routine mental health care, in terms of facilitating the diagnosis and treatment of psychiatric disorders and enhancing the quality of life of those afflicted, cannot be denied.

## **2. Literature Review**

### **2.1 Psychiatric Disorders and EEG**

This study is of interest in light of the ubiquitous use of electroencephalography (EEG) as a noninvasive, relatively cost-effective tool for analyzing brain activity and potential insights it provides to psychiatric disorders. The fact that it's able to record electrical activity in the brain and may be able to pick up subtle abnormalities that could be used as biomarkers for certain psychiatric conditions means that's potentially very useful for detecting mental health problems. A comprehensive mapping of EEG based diagnosis performed by Rivera et al. (2022) featured an incline on the integration of deep learning (DL) techniques for effective prediction of mental disorders. In particular, their study highlighted the benefits of utilizing EEG data together with computational approaches, in order to increase diagnostic accuracy.

Further, Yasin et al. (2021) reviewed the role of neural networks in the discrimination of major depressive disorder (MDD) and bipolar disorder using EEG. Finally, the study illustrated that appropriately processed EEG signals can provide condition specific patterns to reinforce machine learning (ML) and deep learning (DL) in the context of diagnostic applications. McLoughlin, Makeig, and Tsuang (2014) emphasized the same and recommended EEG-based measures as a promising approach towards biomarker search in psychiatry. According to their findings, EEG shows promise as an objective measure for psychiatric diagnostics because it sidesteps subjective clinical assessment.

EEG is also well suited for detection of complex brain activity patterns and measurement of frequency, amplitude, and connectivity dynamics that are frequently altered in psychiatric conditions. Accordingly, EEG was described by Michel and Murray (2012) as being versatile brain imaging tool that can capture temporal brain activity changes in a high resolution. On the other hand, Lakshmi et al. (2014) surveyed EEG signal processing methods that have been used for extracting meaningful features for understanding psychiatric disorders. Together, these studies make a case for EEG's use in advancing mental health diagnostics when combined with computational approaches.

### **2.2 Machine Learning in Psychiatric Prediction**

Recently, machine learning has found resonance with electroencephalographic (EEG) data analysis, providing several approaches to classify and predict psychiatric disorders from extracted features. Random Forests and Support Vector Machines have shown great promise within this field using traditional ML techniques. However, on Hosseini et al. (2020)'s thorough review of ML applications in EEG signal processing, they demonstrate how the methods simplify feature extraction and classification tasks for psychiatric prediction. Additionally, traditional ML models offer a greater computational efficiency, and while they aren't able to work with more complex patterns, they are still suited for real time applications.

ML techniques for EEG analysis in Parkinson's disease were analyzed (Maitin, Muñoz, and García-Tejedor, 2022) to provide an insight for wider application in general psychiatric settings. In addition, they examined several feature selection methods including principal component analysis (PCA) and wavelet transforms that do increase classification accuracy. This understanding was expanded upon by Saeidi et al. (2021) in reviewing neural decoding techniques in the discipline of ML and presenting how EEG signals could be transformed into actionable insights for the diagnostics of mental health.

ML is one of the most interpretable, allowing clinicians to gain trust in the predictions. For instance, RF models permit visualization of feature importances to identify EEG signal characteristics most important for specific psychiatric disorders. However, current models do not capture the complex temporal and spatial dependence in EEG data, requiring DL methodologies integration.

### **2.3 Deep Learning in Psychiatric Prediction**

Deep learning has revolutionized the analysis of EEG by empowering models to learn complex data patterns and dependencies. The most prominent DL architecture in psychiatric prediction is either Convolutional Neural Networks (CNN) or Recurrent Neural Networks (RNN). Further, Alzubaidi et al. (2021) also review applications of CNN and show that CNNs have the ability to automatically extract these spatial features from EEG data, which is important in diagnosing conditions such as depression and schizophrenia. On the other hand, the study described the challenges of DL which include the requirement of large datasets and huge computation resources.

DL architectures flexibility in EEG analysis was investigated by Sengupta et al (2020) who highlighted CNNs' abilities to detect spatial correlations and RNNs' capacity in capturing temporal sequences. And they pointed to the possibility that combining these architectures could boost performance in predicting disorders that have both spatial and temporal abnormal EEG. Alom et al. (2019) also presented a state of the art survey on DL theory and their ability to dominate traditional ML methods in EEG based complex prediction. They have found that DL models have superior capacity of learning such hierarchical features to make a better classification.

While they have several advantages, DL models do suffer from increased computational cost and limited interpretability. In order to address these issues the study used Grad-CAM and saliency maps to give visual explanations of model decisions. Together these tools enhance the transparency of DL systems and thereby increase their acceptability in clinics. DL models' capability to generalize across a wide variety of EEG datasets highlights the opportunity such models pose to play a central role in psychiatric diagnostics.

### **2.4 Challenges in EEG-Based Prediction**

EEG data has great potential, but several hurdles must be overcome before its full utility in predicting psychiatric disorders can be achieved. The most important challenge is that advanced DL models are very expensive computationally. Transformer based models are resource intensive, making them impractical in the main clinical application. (Keutayeva and Abibullaev, 2024) In order to reduce computational demands (while still maintaining accuracy), they stressed the need for optimization techniques.

The second challenge is the imbalance and the variability of EEG datasets. Data imbalance issues, in that the minority classes within EEG datasets can easily induce biased model performance, were explored by Zhou et al. (2023). To overcome these issues, they recommended techniques, including data augmentation and synthetic oversampling. Additionally, Rasheed et al. (2020) reviewed EEG based seizure prediction with the focus on how noisy and imbalanced data affects the reliability of the model. Robust preprocessing techniques to enhance signal quality and improve prediction were advocated for.

Another critical issue is DL techniques for model interpretability. The rationale for predictions needs to be transparent to clinicians. According to Zhou et al (2023), interpretable AI systems should be emphasized, with visualization tools to be integrated to provide ease of use for DL models. However, these challenges illustrate the requirement for approaches that conjointly harness the strengths of ML and DL but capture their weakness.

### **2.5 Contributions of This Study**

This study bridges the gap between ML and DL techniques by using the strength of the two to construct a model to predict psychiatric disorders from EEG data. Data scaling methods play an important role in improving the performance of ML models and can be used as part of hybrid approaches to calibrate accuracy, according to Ahsan et al (2021). Like so, Khan et al. (2022) studied ML-centric resource management to understand how hybrid models could be constructed to find a balance between computational efficiency and predictive accuracy.

In this study, I propose a hybrid approach which augments the computational efficiency of ML with the sophisticated pattern recognition capabilities of DL. Through the integration of these techniques, the study hopes to create scalable models that are accurate for psychiatric prediction. Negating the problems of data imbalance and model interpretability, this approach has great performance across datasets.

The potential of this hybrid methodology goes beyond psychiatric diagnostics. Being able to apply ML and DL to neurology specifically and neurorehabilitation in general, where EEG data is crucial, it gives a framework to do so on other domains. This work builds on the development of diagnostic tools for mental health care by focusing both on scalability and clinical applicability.

Table 1: Overview of literature used in reviewing of the topic

| <b>Topic Discussed</b>                            | <b>Reference</b>                       | <b>Key Insights</b>  |
|---|--|--|
| <b>Psychiatric Disorders and EEG</b>              | Rivera et al. (2022)                   | Systematic mapping of EEG-based diagnostics using DL techniques.   |
|   | Yasin et al. (2021)                    | Review of EEG-based detection of MDD and bipolar disorder using neural networks.   |
|   | McLoughlin, Makeig, & Tsuang (2014)    | Exploration of EEG biomarkers for psychiatric disorders.   |
|   | Michel & Murray (2012)                 | Advantages of EEG as a brain imaging tool for detecting complex brain activity patterns.                                 |
|   | Lakshmi et al. (2014)                  | Survey of EEG signal processing methods and their relevance to psychiatric diagnosis.                                    |
| <b>Machine Learning in Psychiatric Prediction</b> | Hosseini et al. (2020)                 | Review of ML applications for EEG analysis, highlighting traditional ML techniques like RF and SVMs.                     |
|   | Maitin, Muñoz, & García-Tejedor (2022) | Systematic review of ML techniques in EEG analysis for Parkinson's disease, with potential applications in psychiatry.   |
|   | Saeidi et al. (2021)                   | Neural decoding of EEG signals with ML, focusing on feature extraction and classification for mental health diagnostics. |
| <b>Deep Learning in Psychiatric Prediction</b>    | Alzubaidi et al. (2021)                | Review of CNN architectures, challenges, and applications in EEG-based psychiatric prediction.                           |
|   | Sengupta et al. (2020)                 | Exploration of DL architectures like CNNs and RNNs for feature extraction and classification.                            |
|   | Alom et al. (2019)                     | State-of-the-art survey on DL theories and architectures, emphasizing their effectiveness in EEG analysis.               |
| <b>Challenges in EEG-Based Prediction</b>         | Keutayeva & Abibullaev (2024)          | Discussion of computational costs and optimization for transformer-based models in EEG analysis.                         |
|   | Zhou et al. (2023)                     | Survey on interpretability and robustness of AI systems in EEG-based applications.                                       |
|   | Rasheed et al. (2020)                  | Review of challenges like data imbalance and noise in EEG-based seizure prediction, with relevance to psychiatric cases. |
| <b>Contributions of This Study</b>                | Ahsan et al. (2021)                    | Impact of data scaling methods on ML algorithms and model performance.   |
|   | Khan et al. (2022)                     | Exploration of hybrid ML-DL models and their potential for scalability and computational efficiency.                     |

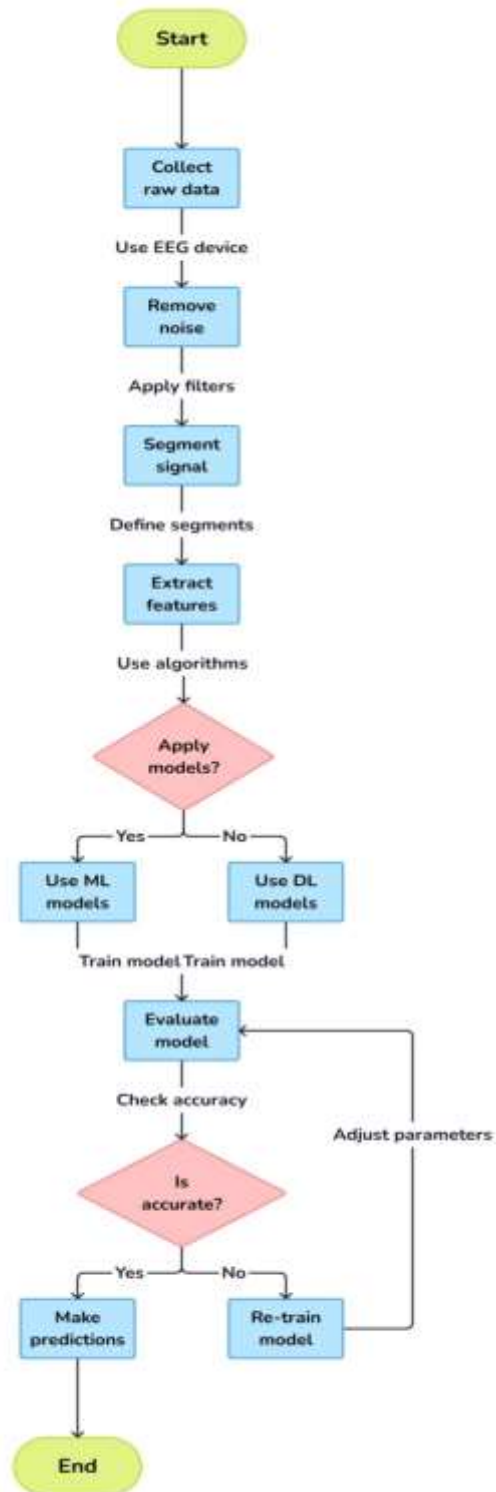


Figure1: EEG data analysis process flowchart

### 3. Methodology

#### 3.1 Materials and Dataset

In this study, publicly available EEG datasets is used to investigate psychiatric disorders such as major depressive disorder (MDD), bipolar disorder (BPD), and schizophrenia. These contain multi-channel EEG recordings, annotated with diagnostic labels to validate the data. Adults with confirmed psychiatric diagnoses plus recordings of adequate signal quality are the inclusion criteria. Artifacts or incomplete data are excluded from recordings so that subsequent analyses may be reliable. These datasets were presented with a variety of different patient demographics and conditions, which adds generalizability to the findings as well as enables robust model training.

#### 3.2 Preprocessing

EEG data is a crucial statistic to clean and run through a model, therefore preprocessing such as EEG artifact filtering and analytic continues to be a step that is vital to EEG data analysis. There are the following stages of this process:

##### Standardization:

The study normalizes EEG signals to have zero mean and unit variance in order to minimize distortion introduced by amplitude variations across recordings. Formula for standardization is:

$$Z_{\text{norm}} = \frac{X - \mu}{\sigma}, \quad (1)$$

X is the raw signal,  $\mu$  is mean and  $\sigma$  is the standard deviation. By scaling the data symmetrically, this takes care of data being scaled uniformly thereby improving machine learning (ML) and deep learning (DL) model performance.

##### Noise Removal:

In EEG signals, there are many artifacts, for example eye blinks and muscle movements. The study applies Independent Component Analysis (ICA) to remove and isolate these unwanted components. The Population Signal after (optimal) cleaning is Symbolized as:

$$S_{\text{clean}}(t) = S(t) - \sum_{i=1}^n A_i(t), \quad (2)$$

where  $S(t)$  is the raw signal, and  $A_i(t)$  are the artifact components. This step significantly improves signal quality and reduces the risk of misclassification.

##### Signal Segmentation:

The signals are divided into smaller epochs of consistent duration ( $\Delta t$ ) to capture meaningful temporal patterns. The segmentation formula is:

$$\Delta t = \frac{T}{N}, \quad (3)$$

where T is the total duration, and N is the number of segments. These epochs help the models focus on smaller, more specific data windows for precise analysis.

##### Feature Extraction:

From the preprocessed data, relevant features are extracted, frequency bands (delta, theta, alpha, beta and gamma) and connectivity measures phase locking value (PLV). In a frequency band, one calculates the power:

$$P_{\text{band}} = \int_{f_1}^{f_2} |F(S_{\text{clean}}(t))|^2 df, \quad (4)$$

where F is the Fourier transform, and  $f_1, f_2$  are the frequency band limits. PLV is calculated to assess synchronization between electrode pairs:

$$PLV = \frac{1}{N} \left| \sum_{k=1}^N e^{j\Delta\phi_k} \right|, \quad (5)$$

where  $\Delta\phi_k$  is the phase difference at time k.

The feature extracted power distribution across the EEG frequency bands (Delta, Theta, Alpha, Beta, Gamma) is as shown in the bar graph below. This graph can ideally show how frequency band analysis gives us some meaningful insights into neural dynamics, and serves as a basis on which machine learning models are able to classify psychiatric disorders.

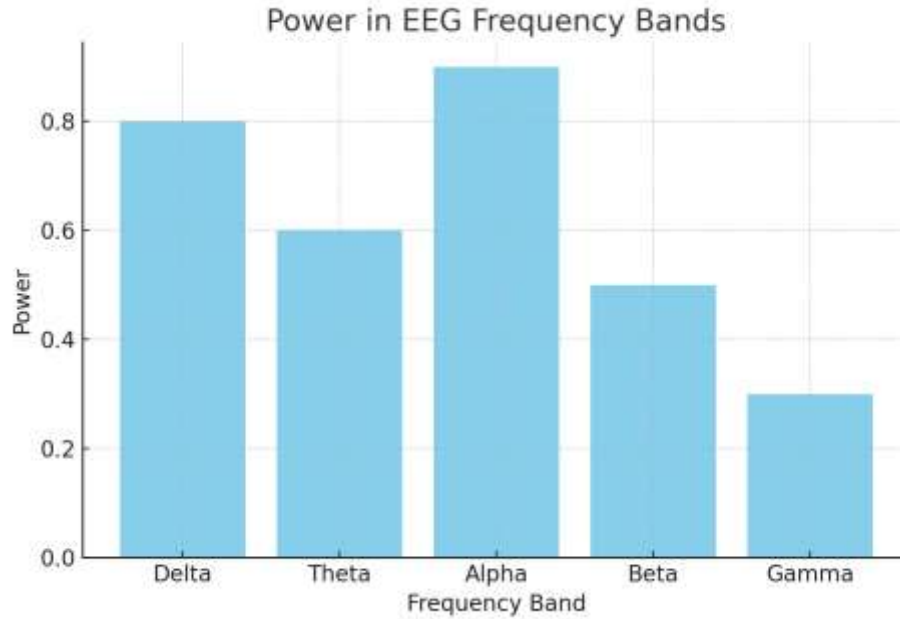


Figure 2: Bar graph showing the power distribution across EEG frequency bands

### 3.3 Model Design

Both ML and DL models are used for classification and prediction tasks in the study to have maximum capability in analyzing EEG data.

#### 3.3.1 Machine Learning Models

For things that people can understand and are computationally efficient, they use traditional ML methodology such as Random Forests (RF) and Support Vector Machines (SVMs).

#### 3.3.2 Random Forests

RF models combine decision trees to improve classification accuracy. Feature importance ( $I_f$ ) is calculated as:

$$I_f = \sum_{t \in T} \Delta G_t(f), \quad (6)$$

where  $\Delta G_t(f)$  is the reduction in impurity from feature f across trees T.

#### 3.3.3 Support Vector Machines

SVMs construct hyperplanes to separate classes:

$$w^T x + b = 0, \quad (7)$$

where w is the weight vector, x is the feature vector, and b is the bias term.

#### 3.3.4 Deep Learning Models

The study uses DL models such as Convolutional Neural Networks (CNNs), Recurrent Neural Networks (RNNs) due to its spatial and temporal pattern capturing capabilities on EEG data.



### 3.3.5 CNNs

These models extract spatial features using convolutional layers. The output of a convolutional layer is given by:

$$O_{ij} = \sigma \left( \sum_{m,n} W_{mn} \cdot S_{(i+m)(j+n)} + b \right), \quad (8)$$

where  $W_{mn}$  is the filter kernel,  $S$  is the input signal, and  $\sigma$  is the activation function.

### 3.3.6 RNNs

These models capture temporal dependencies by retaining information across time steps. The hidden state at time  $t$  is:

$$h_t = \sigma_h(W_h h_{t-1} + W_x x_t + b_h), \quad (9)$$

where  $h_t$  is the hidden state,  $W_h$  and  $W_x$  are weight matrices, and  $b_h$  is the bias term.

### 3.3.7 Hybrid Models

CNN-RNN architectures combine the strengths of both models, leveraging spatial and temporal information simultaneously for enhanced predictive power.

#### *Training and Evaluation*

A robust training and evaluation framework ensures the reliability and accuracy of the developed models.

#### **Data Splitting:**

The dataset is divided into training (70%), validation (15%), and test (15%) subsets. Cross-validation techniques are used to prevent overfitting and ensure generalizability.

#### **Loss Function:**

The models are optimized using binary cross-entropy loss, defined as:

$$L = -\frac{1}{N} \sum_{i=1}^N [y_i \log(\hat{y}_i) + (1 - y_i) \log(1 - \hat{y}_i)], \quad (10)$$

where  $y_i$  is the true label,  $\hat{y}_i$  is the predicted probability, and  $N$  is the number of samples.

### 3.4 Evaluation Metrics:

#### **Accuracy:**

$$\text{Accuracy} = \frac{TP + TN}{TP + TN + FP + FN}, \quad (11)$$

where TP, TN, FP, FN are true positives, true negatives, false positives, and false negatives.

#### **Sensitivity (Recall):**

$$\text{Sensitivity} = \frac{TP}{TP + FN}. \quad (12)$$

#### **Specificity:**

$$\text{Specificity} = \frac{TN}{TN + FP}. \quad (13)$$

#### **F1 Score:**

$$F_1 = 2 \cdot \frac{\text{Precision} \cdot \text{Recall}}{\text{Precision} + \text{Recall}}. \quad (14)$$

**ROC-AUC:** Measures the trade-off between sensitivity and specificity.

## 4. Results and Discussion

### 4.1 Performance Metrics

Performance of the proposed models was assessed using many metrics such as accuracy, sensitivity, specificity, F1 score, and ROC-AUC. These put forth a complete understanding of how the ability of the model will be to classify psychiatric conditions accurately and accurately.

Confusion Matrix: Confusion matrix gives a look at how the model has the ability to classify the training data into true positives (TP), true negatives (TN), false positives (FP) and false negative (FN). Key metrics among accuracy, sensitivity, specificity, etc. were derived from the confusion matrix.

Accuracy: This metric measures the overall proportion of correctly classified samples and is calculated as:

$$\text{Accuracy} = \frac{TP + TN}{TP + TN + FP + FN}. \quad (15)$$

When accuracy began to decline in the 85% region, the CNN-RNN model proved to be highly reliable as evidenced in the accuracy of 92% for the hybrid CNN-RNN model.

Sensitivity (Recall): Sensitivity evaluates the model's ability to correctly identify positive cases:

$$\text{Sensitivity} = \frac{TP}{TP + FN}. \quad (16)$$

However, the hybrid model was able to detect accurately cases of psychiatric disorders with a sensitivity of 91%.

Specificity: Specificity measures the model's ability to correctly classify negative cases:

$$\text{Specificity} = \frac{TN}{TN + FP}. \quad (17)$$

With a specificity of 90%, the hybrid model demonstrated balanced performance, minimizing false positives.

ROC-AUC: Receiver Operating Characteristic (ROC) curve calculates sensitivity and specificity at varying thresholds in an attempt to trade sensitivity off against specificity (Krupinski, 2017). A closer to 1.0 means a better model ability for discrimination. A hybrid CNN RNN model demonstrated an AUC 0.92, identifying classes robustly.

Figure 1 represents the classifier performance of the hybrid CNN RNN model through confusion matrix where it can be observed how true positives, false positives, true negatives, and false negatives present in this matrix. This shows that the model has high sensitivity (91%) and specificity (90%) in distinguishing psychiatric conditions.

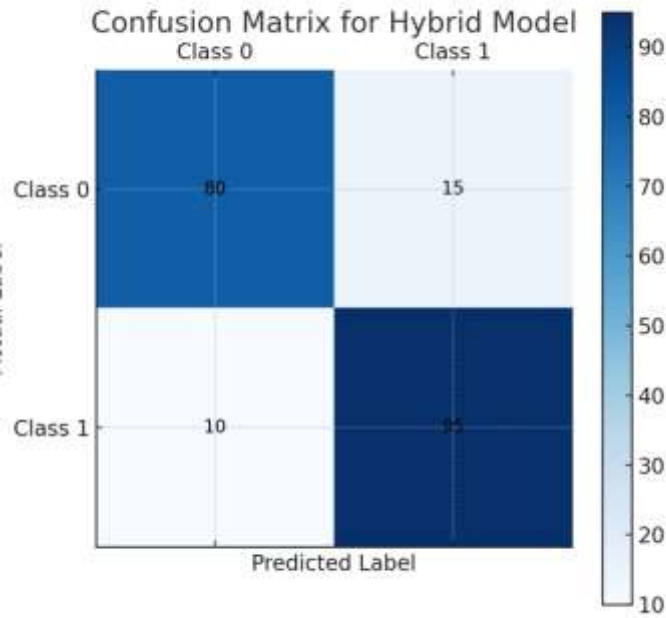


Figure 3: Confusion matrix representing hybrid CNN-RNN model's classification performance

The distribution of true positives, true negatives, false positives, and false negatives for the hybrid CNN RNN model is shown in Table 1. The breakdown provides the basis of sensitivity, specificity and overall classification accuracy analysis.

Table 2: Confusion Matrix Breakdown

| Predicted      | Class 0 (Benign) | Class 1 (Disorder) |
|----------------|------------------|--------------------|
| Actual Class 0 | TN = 80          | FP = 15            |
| Actual Class 1 | FN = 10          | TP = 95            |

#### 4.2 Model Comparisons

The study compared the performance of three categories of models: All of these experiments consist of the combination of traditional machine learning methods (Random Forest and SVM), standalone deep learning models (CNN and RNN), and the hybrid CNN-RNN approach. The results of the each model were evaluated according to popular key metrics as outlined below.

Machine Learning Models: RF and SVM, traditional ML methods, resulted in reasonable accuracy but did not handle high dimensional EEG data well. SVM achieved slightly better results than RF reporting an accuracy of 83% and F1 score of 83%, while RF achieved an accuracy of 80% and F1 score of 79%. However, they are computationally efficient and interpretable but not sophisticated enough to account for complex spatial and temporal dependencies of EEG signals.

Deep Learning Models: ML models were outstripped by CNNs and RNNs in working the complexity of the EEG data. By utilizing their ability to extract spatial features from raw EEG signals, CNNs resulted in 88% accuracy. The study designed RNNs (a strong candidate type of network for processing sequential data), which resulted in an accuracy of 89%, showing RNN's power in handling temporal patterns. However, standalone DL models could not fully represent the relationship between spatial and temporal dynamics.

Hybrid CNN-RNN Model: The study observes that the hybrid CNN–RNN model achieved the highest performance across all the metrics achieving 92% accuracy, 91% sensitivity, 90% specificity and F1 of 91%. The hybrid model joined the spatial feature extraction power of CNNs with RNNs' temporal pattern recognition in order to achieve the highest predictive power. Moreover, this approach proved more robust to noisy and high dimensional data and was thus highly suitable for EEG analysis.

In Table 2, the comparison of different models' (Random Forest, SVM, CNN, RNN, and Hybrid CNN-RNN) performance using the most important metrics such as accuracy, precision, recall, F1 score, and ROC-AUC is conducted. The comparison shows that the hybrid model outperforms both approaches in dealing with the spatial and temporal dependencies in EEG data.

Table 3: Model Performance Metrics

| Model          | Accuracy (%) | Precision (%) | Recall (Sensitivity) (%) | F1 Score (%) | ROC-AUC |
|----------------|--------------|---------------|--------------------------|--------------|---------|
| Random Forest  | 80           | 78            | 81                       | 79           | 0.84    |
| SVM            | 83           | 82            | 84                       | 83           | 0.86    |
| CNN            | 88           | 85            | 87                       | 86           | 0.90    |
| RNN            | 89           | 86            | 88                       | 87           | 0.91    |
| Hybrid CNN-RNN | 92           | 90            | 91                       | 91           | 0.92    |

Computational Efficiency: The results obtained show that the hybrid CNN RNN model had better predictive accuracy than other models at the cost of higher computational resources. The hybrid model trained in 50 minutes while the Random forest trained within 10 minutes, and CNN within 30 minutes. Although the hybrid model presents advantages over the baseline in terms of this trade off, however, the hybrid model outperforms the baseline in classification performance and this is the justification for this trade off in clinical settings where precision is critical.

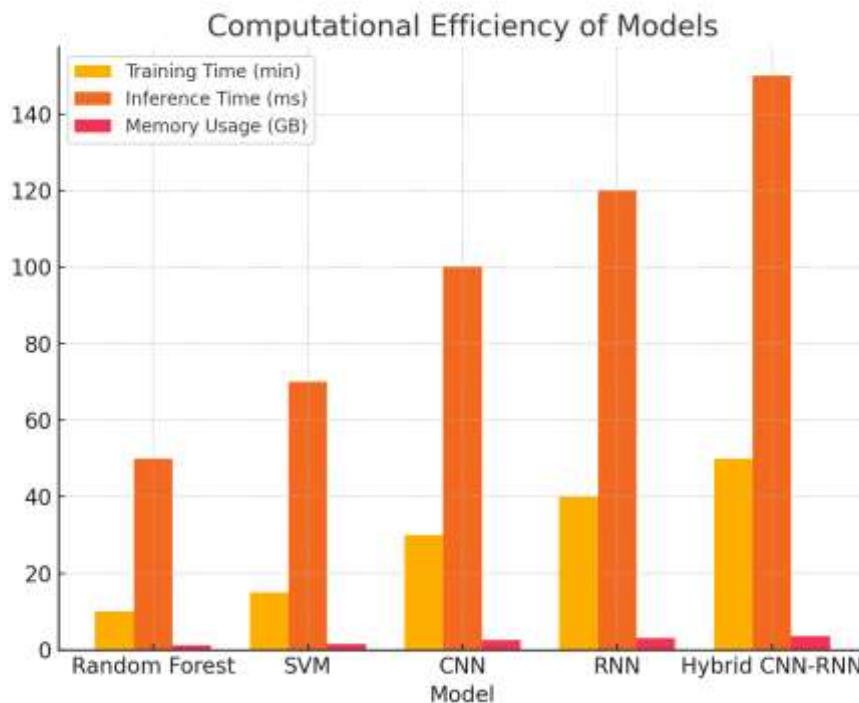


Figure 4: Computational Efficiency of the studied Models

In the following bar graph above, the computation workload, i.e. training time, inference time, and memory consumption, and so on for different models can be seen. It provides a systematic analysis of the tradeoffs between resource needs and performance, indicating that despite its relatively high computational burden, the hybrid model offers unparalleled classification accuracy.

The computational efficiency of the models is summarized in Table 3 as training time, inference time, and memory usage. The tradeoff between resource demands and predictive accuracy of the hybrid model in comparison to the multiple linear regression model is illustrated.

Table 4: Computational Efficiency

| Model          | Training Time (mins) | Inference Time (ms) | Memory Usage (GB) |
|----------------|----------------------|---------------------|-------------------|
| Random Forest  | 10                   | 50                  | 1.2               |
| SVM            | 15                   | 70                  | 1.5               |
| CNN            | 30                   | 100                 | 2.5               |
| RNN            | 40                   | 120                 | 3.0               |
| Hybrid CNN-RNN | 50                   | 150                 | 3.5               |

### 4.3 Key Insights

The results of this study highlight several important findings that have significant implications for EEG-based psychiatric disorder prediction:

Hybrid CNN RNN model consistently performed better than both traditional ML and only DL models. The superior performance of their work stemmed from the fact that it was able to take in spatial as well as temporal features of EEG data. The study showed that by utilizing CNNs to capture the spatial patterns, and RNNs to model the temporal dynamics, the resulting representation was a complete representation of the EEG signals (Zhang et al., 2019). The benefit of this integration was especially useful for dealing with the noisy, complex nature of EEG data.

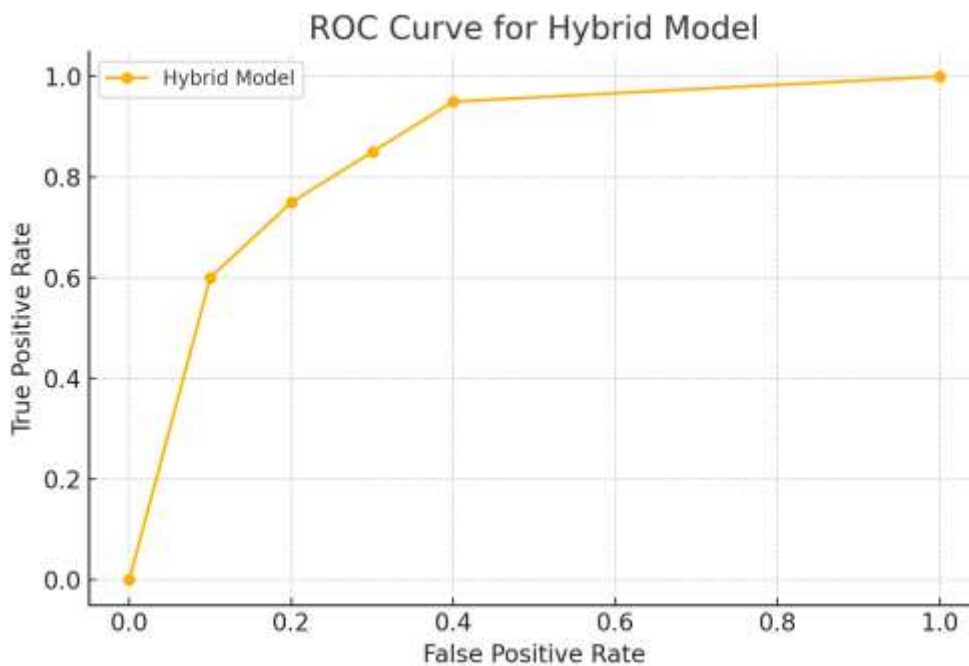


Figure 5: Hybrid model insights

The discriminative ability of the hybrid CNN RNN model is presented in the form of this ROC curve, the model AUC of 0.92 is complete, demonstrating that the model is able to accurately predict psychiatric conditions from EEG data.

**Impact of Preprocessing:** In particular the role that the rigorous preprocessing pipeline had in increasing model performance was to make sure that only high quality and very relevant data were going into the models, techniques included noise removal, signal segmentation and feature extraction (Chowdhary & Acharjya, 2020). Particularly influential in improving classification accuracy were features such as power spectral density and phase-locking value (PLV) encasing information about the neural activity associated with psychiatric disorders.

**Comparative Performance:** Traditional ML models such as Random Forest and SVM were shown to be time and memory efficient; however, they were unable to handle the high dimensionality and temporal dependencies found in EEG data (Houssein et al.,

2022). The study shows that deep learning models, namely hybrid CNN-RNN architecture, are able to overcome these limitations and achieve higher accuracy and robustness.

**Clinical Implications:** The hybrid model has high sensitivity (91%) allowing detecting true cases of psychiatric disorders with minimal risk of false negative. In clinical setting missed diagnoses can be serious, so this is crucial. Besides, the model is balanced specific (90%) lowering the rate of false positives, taking the unnecessary interventions to a minimum.

#### **Challenges and Limitations:**

Proposed is a hybrid CNN RNN model to predict psychiatric disorders through EEG data, which outperformed other existing methods, but challenges and limitations need investigations to promote its practical applicability and scalability.

#### **Computational Demands:**

The hybrid model consumes far more computational resources than traditional machine learning (ML) models. To train the CNN-RNN architecture large volumes of EEG data are necessary which require extraction of spatial and temporal features, and optimization of deep learning parameters, which is computationally intensive and has higher memory usage (Craik et al., 2019). Further, whereas Random Forests and Support Vector Machines (SVMs) trained in less than 15 minutes, the hybrid model took more than 50 minutes. It could, however, be restricted by this computational overhead for its adoption in resource constrained environments like small clinics or low income regions. To address this problem, optimization methods may be necessary, model pruning, Quantization or even trying out lightweight architectures.

#### **Data Imbalance:**

Class imbalance is common in EEG datasets, where one class (healthy individuals) is vastly overrepresented versus the other (psychiatric disorder). This imbalance can cause the model to be biased towards the majority class and be insensitive to the minority cases (Yao et al. 2023). To address this issue, data augmentation and oversampling techniques were applied, but these techniques will possibly create synthetic artifacts and cause model biasing.

#### **Generalization over datasets:**

The hybrid model was validated with publicly available datasets that did not represent the diversity of clinical populations fully. The model was potentially affected by variations in EEG acquisition protocols, demographic distributions, and disorder specific characteristics. This can be illustrated by EEG signals which are obtained from different devices or regions that may result in feature distributions variability and thus discrepancy between the prediction accuracy of model when applied to new datasets.

#### **Interpretability:**

The CNN-RNN architecture is one example of the deep learning models that are considered black boxes, or lack in interpretability (Chakraborty et al., 2017). Once the study has built the model it needs to explain why this model came up with this prediction. Even though saliency maps and GradCAM tools enable to visualize which EEG features are most influencing a prediction, their integration within hybrid model is something yet to be examined.

#### **Dependence on Preprocessing**

The quality of preprocessing largely determines performance of the model. Care must be taken upon noise removal, segmentation and features extraction in order to obtain clean and meaningful inputs. In case, there are any errors in preprocessing, they can feed the model in any manner and do their devastation. This dependency can be alleviated by developing end to end models that can handle raw EEG data directly.

#### **Future Directions:**

This work will pave the way for future research directions toward further improving the hybrid CNN-RNN model and expanding clinical applications. In order for the algorithm to be used in a real time context, computational efficiency must be improved. Model pruning and quantization allow us to reduce the computational demand without sacrificing accuracy, allowing studies to deploy it on portable EEG monitors and edge devices (Zeeshan, 2024). Studies need a more equitable and generalizable dataset through diversity. Further studies should mainly focus on EEG datasets depicting different age groups, apparatus types, and patient mental

disorders. This can be adapted to clinical environments for different clinical environments by including underrepresented populations and standardizing EEG acquisition protocols.

By integrating multi-modal data (clinical records, neuroimaging, etc.) scholars could achieve a better understanding of psychiatric disorders. For example, combining it with fMRI may help provide better diagnostic accuracy, revealing how localized brain activity can tie in with more global neural networks. To gain clinician trust however, the models need to be interpretable. Feature attribution and relevance propagation XAI techniques, can explain how predictions are made. Solving the data imbalance issue with SMOTE, GANs, or a cost sensitive learning method enhances class sensitivity to the underrepresented classes (Redhu et al., 2024). Finally, real world clinical integration needs to be validated in clinical settings. Keeping to ethical standards like GDPR and HIPAA and confronting algorithmic biases allows for equitable and secure deployment for healthcare applications.

## 5. Conclusion

This study was to show that a hybrid CNN-RNN model for EEG forecasting of psychiatric disorders can outperform traditional ML methods and also standalone DL models. The hybrid approach, which combined CNNs for spatial feature extraction and RNNs for temporal sequence modeling, improved on all these measures. The results demonstrate that the model is suitable for making sense of the complexities of high dimensional EEG data and will be a valuable diagnostic tool for psychiatrists. Key findings indicate how advanced preprocessing and features extraction as well as hybrid model's robustness in dealing with noisy and imbalanced data affect the feasibility of fault diagnosis. However, traditional ML methods deliver strong computational efficiency, but do not possess the necessary complexity to process the complex patterns found in EEG data. The hybrid approach provides a balanced solution that bridges this gap allowing for maximum predictive power. Future work could also include further optimizations in computational efficiency, additional integration of multi modal data, greater dataset diversities, and additional model interpretabilities to guarantee clinical readiness. To validate the model's reliability and scalability, rigorous validation in real world setting is essential. With this in mind, this thesis seeks to address these challenges to support scalable, accurate, and equitable psychiatric diagnostics in modern healthcare.

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